

Bethany J. Thompson CES™, CTS®  
FinTrust Capital Advisors, LLC

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July 25, 2023

**This brochure supplement provides information about Bethany J. Thompson that supplements the FinTrust Capital Advisors, LLC (“FCA”) brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at (864) 288-2849 if you did not receive FCA’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ms. Thompson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 - Educational Background and Business Experience**

Bethany J. Thompson, b. 1994

### Educational Background

Clemson University – BS, Economics

Certified Estate and Trust Specialist™ (CES™)

Certified Tax Specialist® (CTS®)

The Certified Estate and Trust Specialist™ (CES™) designation is issued by the Institute of Business & Finance. Candidates are required to complete educational modules about estate planning and related legal frameworks and potential tax implications. All CES™ designees must successfully pass two exams and a case study. Certified individuals are required to complete 30 hours of continuing education every two years.

The Certified Tax Specialist® (CTS®) is issued by The Institute of Business & Finance (IBF). This graduate-level designation is conferred upon candidates who complete a 135+ hour educational program focusing on personal income taxes and methods to reduce tax liability. CTS® certification requires mastery of the basic income tax formula and its computation, retirement plans, deductions, credits, capital gains, depreciation, payroll taxes and tax planning. The student must pass two comprehensive exams, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements. The CTS® program is designed for brokers and advisors who have clients interested in maximizing their after-tax returns as well as reducing their overall tax liability.

## Business Experience

<b>Dates</b>	<b>Position</b>	<b>Firm</b>
2018 – Present	Registered Client Service Administrator	FinTrust Capital Advisors, LLC
2018 – Present	Registered Representative	FinTrust Brokerage Services, LLC
2016 – 2018	Financial Assistant	Kave Enterprises, LLC

### **Item 3 - Disciplinary Information**

Ms. Thompson has no information applicable to this Item.

### **Item 4 - Other Business Activities**

FCA is related due to the common ownership to FinTrust Brokerage Services, LLC (“FTBS”). FTBS is an affiliated broker-dealer firm that is registered with the SEC and is Member FINRA/SIPC. FCA and FTBS share office space, personnel and facilities, and on occasion serve the same clients.

Ms. Thompson is a Registered Representative of FTBS. In her capacity as a registered representative she may be compensated through commissions based on the sale of securities, investment products and trails received from the sale of mutual funds or other products. This additional compensation may present a conflict of interest because it creates an incentive to recommend products and services based upon compensation, rather than on your needs. However, Ms. Thompson is constrained by fiduciary principles to act in your best interest. Ms. Thompson will explain the costs associated with any recommendations she makes and you are under no obligation to purchase any recommended products.

### **Item 5 – Additional Compensation**

Ms. Thompson does not receive compensation for advisory services as she is paid an hourly rate.

### **Item 6 - Supervision**

Valerie Smithey serves as FCA’s Chief Compliance Officer responsible for oversight and supervision. Mrs. Smithey can be reached at (864) 288-2849.

Ms. Thompson is required to adhere to FCA’s processes and procedures as described in our Code of Ethics. FCA and its CCO monitor the advice that Ms. Thompson gives by reviewing the relevant account opening documentation when the relationship is established and reviewing client correspondence periodically. In addition, account activity is reviewed periodically to ensure that trading is in line with your stated objectives. Following these reviews, reports are prepared to assist Mrs. Smithey in supervising and monitoring the accounts. Factors that are considered include, but are not limited to, the following: investment objectives, targeted allocation, current allocation, suitability, performance, number of trades, monthly distributions, concentrated positions, diversification, and outside holdings. Periodically, the members of FCA’s investment committee meet to review portfolio holdings and suggest to the other members any changes that may need to be made.