

# Teresa Sylvester, AIF<sup>®</sup>, CRPS<sup>®</sup>, CPFA

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## FinTrust Capital Advisors, LLC

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**This brochure supplement provides information about Teresa Sylvester that supplements the FinTrust Capital Advisors, LLC (“FCA”) brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at (864) 288-2849 if you did not receive FCA’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ms. Sylvester is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

### **Item 2 - Educational Background and Business Experience**

Teresa Sylvester, b. 1965

#### Educational Background

Augusta University- BS, Business Administration

#### Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>)

Administered by *fi360*, the Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>) professional designation demonstrates knowledge and competency in the area of fiduciary responsibility and communicates a commitment to standards of investment fiduciary excellence. Holders of the AIF<sup>®</sup> mark have successfully completed a specialized program on investment fiduciary standards and subsequently passed a comprehensive examination. Six hours of continuing education is required annually in order to maintain the designation.

#### Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS<sup>®</sup>)

The Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS<sup>®</sup>) is issued by the College for Financial Planning<sup>®</sup> to individuals who specialize in creating, implementing and maintaining retirement plans for businesses. The designation is earned by completing coursework and successfully passing the final exam. The CRPS<sup>®</sup> designation must be renewed every two years upon completion of 16 hours of continuing education.

## Certified Plan Fiduciary Advisor (CPFA)

The Certified Plan Fiduciary Advisor (CPFA) credential is issued by the National Association of Plan Advisors (NAPA), part of the American Retirement Association. The program aims to provide an understanding of general retirement planning concepts, terminology, distinctive features of qualified plans and the role of retirement plan professionals. Applicants earn the designation by successfully completing the CPFA examination. CPFA's complete 20 hours of continuing education credits in a two-year cycle.

### Business Experience

<b>Dates</b>	<b>Position</b>	<b>Firm</b>
2015 – Present	Director of Retirement Plan Consulting	FinTrust Capital Advisors, LLC

### **Item 3 - Disciplinary Information**

Ms. Sylvester has no information applicable to this Item.

### **Item 4 - Other Business Activities**

Advisors are required to disclose other investment related businesses or activities outside of their role of Investment Adviser Representative. Ms. Sylvester has no Other Business Activities to disclose.

### **Item 5 - Additional Compensation**

Ms. Sylvester does not receive compensation for advisory services by anyone who is not a client.

### **Item 6 - Supervision**

Valerie Smithey serves as FCA's Chief Compliance Officer responsible for oversight and supervision. Mrs. Smithey can be reached at (864) 288-2849.

Ms. Sylvester is required to adhere to FCA's processes and procedures as described in our Code of Ethics. FCA and its CCO monitor the advice that Ms. Sylvester gives by reviewing the relevant account opening documentation when the relationship is established and reviewing client correspondence periodically. In addition, account activity is reviewed periodically to ensure that trading is in line with your stated objectives. Following these reviews, reports are prepared to assist Mrs. Smithey in supervising and monitoring the accounts. Factors that are considered include, but are not limited to the following: investment objectives, targeted allocation, current allocation, suitability, performance, number of trades, monthly distributions, concentrated positions, diversification, and outside holdings. Periodically, the members of FCA's investment committee meet to review portfolio holdings and suggest to the other members any changes that may need to be made.