

Valerie S. Smithey, CRCP™

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This brochure supplement provides information about Valerie S. Smithey that supplements the FinTrust Capital Advisors, LLC (“FCA”) brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at (864) 288-2849 if you did not receive FCA’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mrs. Smithey is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Valerie S. Smithey, b. 1972

Educational Background

Piedmont College, Master of Business Administration
Columbia College, Bachelor of Science in Business Administration
Certified Fraud Examiner® (CFE®)
Certified Anti-Money Laundering Specialist® (CAMS®)
Certified Regulatory and Compliance Professional™ (CRCP™)

Certified Fraud Examiner® (CFE®) - The CFE designation is a credential offered by the American Association of Certified Fraud Examiners. To earn the CFE credential, an individual must: be an Associate Member of the Association in good standing; meet minimum academic and professional requirements; be of high moral character; agree to abide by the Bylaws and Code of Professional Ethics of the Association; and pass an examination. A candidate’s eligibility to earn the credential is based on a point system which awards credit for a combination of education, professional affiliations and experience. The examination that must be passed focuses on four major disciplines that comprise the fraud examination body of knowledge: fraud prevention and deterrence; fraudulent financial transactions; fraud investigation; and the legal elements of fraud. Once certified, a CFE must continue to be a member of the Association in good standing and must obtain a minimum of 20 hours of continuing professional education every 12-month period.

Certified Anti-Money Laundering Specialist® (CAMS®) - the CAMS credential denotes knowledge in the detection and prevention of money laundering. To sit for the CAMS Examination, you must document a minimum of 40 qualifying credits based on education, professional experience and training, provide three professional references, and be of high moral character. CAMS professionals are required to earn 60 continuing education credits through training, education and other professional development activities in order to recertify every three years.

Certified Regulatory and Compliance Professional™ (CRCP™) - CRCP Program provides compliance, legal and regulatory professionals with an in-depth understanding of the foundation, theory and practical application of securities laws and regulation. Graduates of the program earn the designation of CRCP. The CRCP certificate is awarded to those who complete the program within a two-year period. The program includes two weeklong residential courses, plus extensive pre-course readings and casework. CRCP designees are required to complete 12 hours of continuing education (CE) every three years as of their certificate anniversary award date.

Business Experience

Dates	Position	Firm
2018 – Present	Principal, Registered Representative	FinTrust Brokerage Services, LLC
2008 – Present	Chief Compliance Officer	FinTrust Capital Advisors, LLC
2008 – Present	Insurance Agent	FinTrust Insurance and Benefits, Inc.
2010 – 2017	Registered Representative	Silver Oak Securities, Inc.

Item 3 - Disciplinary Information

Mrs. Smithey has no information applicable to this Item.

Item 4 - Other Business Activities

FCA is related due to the common ownership to FinTrust Brokerage Services, LLC (“FTBS”) and FinTrust Insurance and Benefits, Inc. FTBS is an affiliated broker-dealer firm that is registered with the SEC and is a Member FINRA/SIPC. FinTrust Insurance and Benefits, Inc. is an Insurance Agency. FCA, FTBS, and FinTrust Insurance and Benefits, Inc. share office space, personnel and facilities, and on occasion serve the same clients.

Ms. Smithey is a Registered Representative of FTBS and a licensed Insurance Agent of FinTrust Insurance and Benefits, Inc. In her capacity as a registered representative she may be compensated through commissions based on the sale of securities, investment products and trails received from the sale of mutual funds or other products. In her capacity as an insurance agent she may be compensated through commissions based on the sale of insurance products. This additional compensation may present a conflict of interest because it creates an incentive to recommend products and services based upon compensation, rather than on your needs. However, Ms. Smithey is constrained by fiduciary principles to act in your best interest. Ms. Smithey will explain the costs associated with any recommendations she makes and you are under no obligation to purchase any recommended products.

Item 5 – Additional Compensation

Mrs. Smithey does not receive compensation for advisory services as she is paid a salary.

Item 6 – Supervision

Valerie Smithey serves as FCA’s Chief Compliance Officer responsible for oversight and supervision. However, she cannot be responsible for oversight and supervision of herself. Therefore, Allen Gillespie a

qualified member of FCA, is responsible for oversight and supervision of Mrs. Smithey. Mr. Gillespie can be reached at (864) 288-2849.

Mrs. Smithey is required to adhere to FCA's processes and procedures as described in our Code of Ethics. FCA and Mr. Gillespie monitor the advice that Mrs. Smithey gives by reviewing the relevant account opening documentation when the relationship is established and reviewing client correspondence periodically. In addition, account activity is reviewed periodically to ensure that trading is in line with your stated objectives. Following these reviews, reports are prepared to assist Mr. Gillespie in supervising and monitoring the accounts. Factors that are considered include, but are not limited to the following: investment objectives, targeted allocation, current allocation, suitability, performance, number of trades, monthly distributions, concentrated positions, diversification, and outside holdings. Periodically, the members of FCA's investment committee meet to review portfolio holdings and suggest to the other members any changes that may need to be made.