

# L. Ryan Brinson, AIF®

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## FinTrust Capital Advisors, LLC

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**This brochure supplement provides information about L. Ryan Brinson that supplements the FinTrust Capital Advisors, LLC (“FCA”) brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at (864) 288-2849 if you did not receive FCA’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Brinson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

### **Item 2 - Educational Background and Business Experience**

L. Ryan Brinson, b. 1978

#### Educational Background

University of Georgia- BA, Risk Management and Insurance  
Accredited Investment Fiduciary® (AIF®)

Administered by *fi360*, the Accredited Investment Fiduciary® (AIF®) professional designation demonstrates knowledge and competency in the area of fiduciary responsibility and communicates a commitment to standards of investment fiduciary excellence. Holders of the AIF® mark have successfully completed a specialized program on investment fiduciary standards and subsequently passed a comprehensive examination. Six hours of continuing education is required annually in order to maintain the designation.

#### Business Experience

| <b>Dates</b> | <b>Position</b>                        | <b>Firm</b>                           |
|--------------|--|---------------------------------------|
| 2018-Present | Sr. Vice President, Investment Advisor | FinTrust Capital Advisors, LLC        |
| 2018-Present | Insurance Agent                        | FinTrust Insurance and Benefits, Inc. |
| 2018-Present | Registered Representative              | FinTrust Brokerage Services, LLC      |
| 2011-2018    | Senior Financial Consultant            | Broad Street Capital Advisors, LLC    |
| 2011-2018    | Insurance Agent                        | Broad Street Benefit Group, LLC       |
| 2011-2017    | Registered Representative              | Silver Oak Securities, Inc.           |

### **Item 3 - Disciplinary Information**

Mr. Brinson has no information applicable to this Item.

### **Item 4 - Other Business Activities**

FCA is related due to the common ownership to FinTrust Brokerage Services, LLC (“FTBS”) and FinTrust Insurance and Benefits, Inc. FTBS is an affiliated broker-dealer firm that is registered with the SEC and is a Member FINRA/SIPC. FinTrust Insurance and Benefits, Inc. is an Insurance Agency. FCA, FTBS, and FinTrust Insurance and Benefits, Inc. share office space, personnel and facilities, and on occasion serve the same clients.

Mr. Brinson is a Registered Representative of FTBS and a licensed Insurance Agent of FinTrust Insurance and Benefits, Inc. In his capacity as a registered representative he may be compensated through commissions based on the sale of securities, investment products and trails received from the sale of mutual funds or other products. In his capacity as an insurance agent he may be compensated through commissions based on the sale of insurance products. This additional compensation may present a conflict of interest because it creates an incentive to recommend products and services based upon compensation, rather than on your needs. However, Mr. Brinson is constrained by fiduciary principles to act in your best interest. Mr. Brinson will explain the costs associated with any recommendations he makes and you are under no obligation to purchase any recommended products.

### **Item 5 - Additional Compensation**

Mr. Brinson does not receive compensation for advisory services by anyone who is not a client.

### **Item 6 - Supervision**

Valerie Smithey serves as FCA’s Chief Compliance Officer responsible for oversight and supervision. Mrs. Smithey can be reached at (864) 288-2849.

Mr. Brinson is required to adhere to FCA’s processes and procedures as described in our Code of Ethics. FCA and its CCO monitor the advice that Mr. Brinson gives by reviewing the relevant account opening documentation when the relationship is established and reviewing client correspondence periodically. In addition, account activity is reviewed periodically to ensure that trading is in line with your stated objectives. Following these reviews, reports are prepared to assist Mrs. Smithey in supervising and monitoring the accounts. Factors that are considered include, but are not limited to the following: investment objectives, targeted allocation, current allocation, suitability, performance, number of trades, monthly distributions, concentrated positions, diversification, and outside holdings. Periodically, the members of FCA’s investment committee meet to review portfolio holdings and suggest to the other members any changes that may need to be made.