

Douglas E. Myers, CFP®  
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## FinTrust Capital Advisors, LLC

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**This brochure supplement provides information about Douglas E. Myers that supplements the FinTrust Capital Advisors, LLC (“FCA”) brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at (864) 288-2849 if you did not receive FCA’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Myers is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

### **Item 2 - Educational Background and Business Experience**

Douglas E. Myers, b. July 23, 1960

#### Educational Background

Georgia Institute of Technology –B.S, Industrial Management

The CERTIFIED FINANCIAL PLANNER™ (CFP®)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and

risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

#### Business Experience

<b>Dates</b>	<b>Position</b>	<b>Firm</b>
2018 – Present	Sr. Vice President, Capital Markets	FinTrust Capital Advisors, LLC
2015 – Present	Principal, Registered Representative	FinTrust Brokerage Services, LLC
2002 – 2019	Partner	Southern Trading Partners & Consultants, LLC
2015 – 2018	Sr. Vice President, Capital Markets	FinTrust Investment Advisory Services, LLC
2012 – 2015	Partner	CertusSecurities, Inc.

#### **Item 3 - Disciplinary Information**

Mr. Myers has no information applicable to this Item.

#### **Item 4 - Other Business Activities**

FCA is related due to the common ownership to FinTrust Brokerage Services, LLC (“FTBS”). FTBS is an affiliated broker-dealer firm that is registered with the SEC and is a member FINRA/SIPC. FCA and FTBS share office space, personnel and facilities, and on occasion serve the same clients.

Mr. Myers is a Registered Representative of FTBS. In his capacity as a registered representative he may be compensated through commissions based on the sale of securities, investment products and trails received from the sale of mutual funds or other products. This additional compensation may present a conflict of interest because it creates an incentive to recommend products and services based upon compensation, rather than on your needs. However, Mr. Myers is constrained by fiduciary

principles to act in your best interest. Mr. Myers will explain the costs associated with any recommendations he makes and you are under no obligation to purchase any recommended products.

#### **Item 5 – Additional Compensation**

Mr. Myers does not receive compensation for advisory services by anyone who is not a client.

#### **Item 6 - Supervision**

Valerie Smithey serves as FCA's Chief Compliance Officer responsible for oversight and supervision. Mrs. Smithey can be reached at (864) 288-2849.

Mr. Myers is required to adhere to FCA's processes and procedures as described in our Code of Ethics. FCA and its CCO monitor the advice that Mr. Myers gives by reviewing the relevant account opening documentation when the relationship is established and reviewing client correspondence periodically. In addition, account activity is reviewed periodically to ensure that trading is in line with your stated objectives. Following these reviews, reports are prepared to assist Mrs. Smithey in supervising and monitoring the accounts. Factors that are considered include, but are not limited to the following: investment objectives, targeted allocation, current allocation, suitability, performance, number of trades, monthly distributions, concentrated positions, diversification, and outside holdings. Periodically, the members of FCA's investment committee meet to review portfolio holdings and suggest to the other members any changes that may need to be made.