

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

**FINTRUST BROKERAGE SERVICES, LLC
124 VERDAE BOULEVARD, SUITE 504
GREENVILLE, SC 29607**

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 79.40% |
| Limit orders as percentage of total non-directed orders | 4.87% |
| Other orders as percentage of total non-directed orders | 15.73% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------------|--------|
| 1. Citadel Securities LLC | 50.56% |
| 2. VIRTU Americas LLC | 29.96% |
| 3. G1 Execution Services, LLC | 14.23% |
| 4. UBS Securities, LLC | 1.87% |
| 5. Cboe EDGX U.S. Equities Exchange | 1.50% |
| 6. New York Stock Exchange | 1.12% |
| 7. Two Sigma Securities, LLC | 0.75% |

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 52.36% |
| Limit orders as percentage of total non-directed orders | 30.77% |
| Other orders as percentage of total non-directed orders | 47.62% |

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 26.42% |
| Limit orders as percentage of total non-directed orders | 15.38% |
| Other orders as percentage of total non-directed orders | 52.38% |

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 17.92% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

4. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 2.36% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 30.77% |
| Other orders as percentage of total non-directed orders | 0.00% |

6. New York Stock Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 23.08% |
| Other orders as percentage of total non-directed orders | 0.00% |

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 0.94% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 80.92% |
| Limit orders as percentage of total non-directed orders | 7.89% |
| Other orders as percentage of total non-directed orders | 11.18% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------------|--------|
| 1. Citadel Securities LLC | 52.63% |
| 2. VIRTU Americas LLC | 27.63% |
| 3. G1 Execution Services, LLC | 11.84% |
| 4. NASDAQ Execution Services, LLC | 3.95% |
| 5. Two Sigma Securities, LLC | 1.97% |
| 6. UBS Securities, LLC | 1.32% |
| 7. Cboe EDGX U.S. Equities Exchange | 0.66% |

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 50.41% |
| Limit orders as percentage of total non-directed orders | 25.00% |
| Other orders as percentage of total non-directed orders | 88.24% |

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 30.89% |
| Limit orders as percentage of total non-directed orders | 16.67% |
| Other orders as percentage of total non-directed orders | 11.76% |

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 14.63% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

4. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 50.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 2.44% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

6. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 1.63% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 8.33% |
| Other orders as percentage of total non-directed orders | 0.00% |

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 100.00% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------|--------|
| 1. Citadel Securities LLC | 55.68% |
| 2. VIRTU Americas LLC | 26.49% |
| 3. G1 Execution Services, LLC | 14.59% |
| 4. Two Sigma Securities, LLC | 2.16% |
| 5. UBS Securities, LLC | 1.08% |

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 55.68% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 26.49% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 14.59% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 2.16% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

5. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 1.08% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

Exchange - Listed Options

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 47.83% |
| Limit orders as percentage of total non-directed orders | 52.17% |
| Other orders as percentage of total non-directed orders | 0.00% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|------------------------------------|--------|
| 1. Cboe Options Exchange | 52.17% |
| 2. NASDAQ PHLX Options | 14.13% |
| 3. NASDAQ Options Market | 8.70% |
| 4. BOX Option Exchange | 5.43% |
| 5. Cboe EDGX Option Exchange | 5.43% |
| 6. Cboe BZX Options Exchange, Inc. | 3.26% |
| 7. ISE Gemini Options Exchange | 3.26% |
| 8. NYSE American Options | 2.17% |
| 9. NYSE Arca Options | 2.17% |
| 10. The MIAX Options Exchange | 2.17% |

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 50.00% |
| Limit orders as percentage of total non-directed orders | 54.17% |
| Other orders as percentage of total non-directed orders | 0.00% |

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 22.73% |
| Limit orders as percentage of total non-directed orders | 6.25% |
| Other orders as percentage of total non-directed orders | 0.00% |

3. NASDAQ Options Market

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 16.67% |
| Other orders as percentage of total non-directed orders | 0.00% |

4. BOX Option Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total non-directed orders | 11.36% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

5. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 4.55% |
| Limit orders as percentage of total non-directed orders | 6.25% |
| Other orders as percentage of total non-directed orders | 0.00% |

6. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 2.27% |
| Limit orders as percentage of total non-directed orders | 4.17% |
| Other orders as percentage of total non-directed orders | 0.00% |

7. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 6.25% |
| Other orders as percentage of total non-directed orders | 0.00% |

8. NYSE American Options

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 4.55% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |

9. NYSE Arca Options

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 2.27% |
| Limit orders as percentage of total non-directed orders | 2.08% |
| Other orders as percentage of total non-directed orders | 0.00% |

10. The MIAX Options Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total non-directed orders | 2.27% |
| Limit orders as percentage of total non-directed orders | 2.08% |
| Other orders as percentage of total non-directed orders | 0.00% |